



NTNU
Norwegian University of
Science and Technology



"Ss. Cyril and Methodius" University in Skopje

**FACULTY OF COMPUTER
SCIENCE AND ENGINEERING**

A Polynomial-Time Key-Recovery Attack on MQQ Cryptosystems

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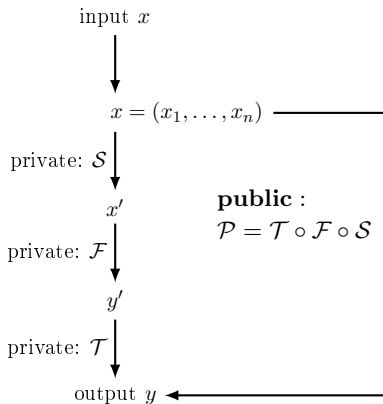
Contributions

- **Cryptanalysis** of MQQ cryptosystems
 - **MQQ-SIG**
 - **MQQ-ENC**
- **Pitfalls of MinRank and Good Keys attacks**
 - Even characteristic fields
 - Complexity bounds independent of the field size

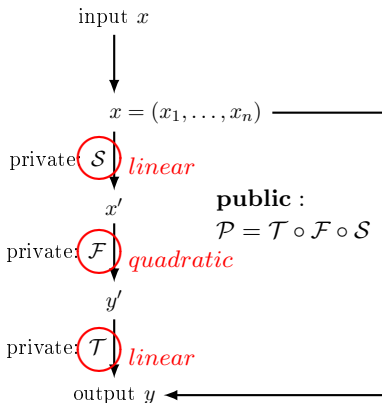
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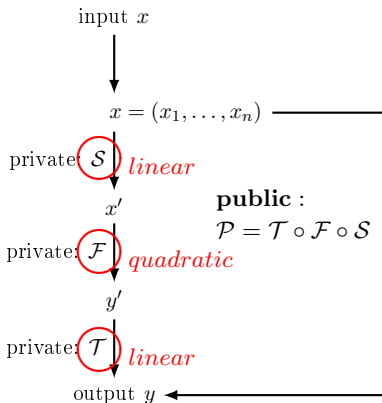


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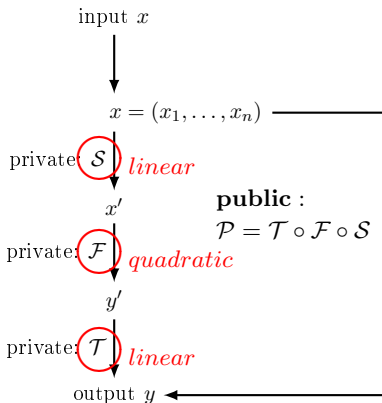
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Post-Quantum secure

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Crucial for the security of MQ schemes

- **MinRank**
- **Equivalent keys/Good keys**

Underlay the security of

- HFE, STS, Rainbow, ... and many more



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MinRank $MR(n, r, k, M_1, \dots, M_k)$

Input: $n, m, r, k \in \mathbb{N}$, where $n < m$ and $M_0, M_1, \dots, M_k \in \mathcal{M}_{n \times m}(\mathbb{F}_q)$.

Question: Find – if any – a k -tuple $(\lambda_1, \dots, \lambda_k) \in \mathbb{F}_q^k \setminus \{(0, 0, \dots, 0)\}$ such that:

$$\text{Rank} \left(\sum_{i=1}^k \lambda_i M_i - M_0 \right) \leq r.$$

Kipnis-Shamir Modeling:

$$\begin{pmatrix} 1 & & x_{1,1} & \dots & x_{1,r} \\ & \ddots & \vdots & & \vdots \\ & & 1 & x_{n-r,1} & \dots & x_{n-r,r} \end{pmatrix} \cdot \left(\sum_{i=1}^k \lambda_i M_i - M_0 \right) = \mathbf{0}_{n \times n}.$$

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Equivalent Keys/Good Keys

$$\begin{aligned}
 \mathcal{P} &= \mathcal{T} \circ \mathcal{F} \circ \mathcal{S} \Leftrightarrow \\
 \mathcal{P} &= \underbrace{\mathcal{T} \circ \Sigma^{-1}} \circ \underbrace{\Sigma \circ \mathcal{F} \circ \Omega} \circ \underbrace{\Omega^{-1} \circ \mathcal{S}} \Leftrightarrow \\
 \mathcal{P} &= \mathcal{T}' \circ \mathcal{F}' \circ \mathcal{S}'
 \end{aligned}$$

- **Equivalent Keys** - preserve all structure
- **Good Keys** - preserve some structure



Equivalent Keys/Good Keys

UOV

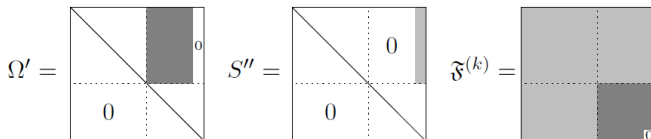
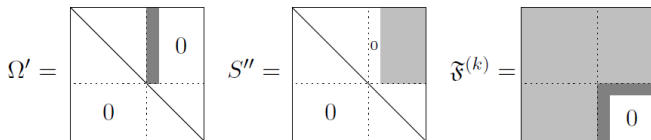
$$\mathfrak{F}^{(k)} = \begin{array}{c} x_1 \dots x_v \dots x_n \\ \begin{array}{|c|c|} \hline & \\ \hline \end{array} \begin{array}{l} x_1 \\ \vdots \\ x_v \end{array} \left. \vphantom{\begin{array}{|c|c|}} \right\} \text{vinegar variables} \\ \hline \begin{array}{|c|c|} \hline & 0 \\ \hline \end{array} \begin{array}{l} \vdots \\ x_n \end{array} \left. \vphantom{\begin{array}{|c|c|}} \right\} \text{oil variables} \end{array}$$

$$\Omega^{-1} \cdot S = \begin{array}{|c|c|} \hline \Omega^{(1)} & 0 \\ \hline \Omega^{(2)} & \Omega^{(3)} \\ \hline \end{array} \begin{array}{l} v \\ m \end{array} \cdot \begin{array}{|c|c|} \hline S^{(1)} & S^{(2)} \\ \hline S^{(3)} & S^{(4)} \\ \hline \end{array} \begin{array}{l} v \\ m \end{array} = \begin{array}{|c|c|} \hline & S'^{(1)} \\ \hline 0 & \\ \hline \end{array} \begin{array}{l} v \\ m \end{array} = S'$$

Equivalent Key for UOV

Equivalent Keys/Good Keys

UOV



Good Keys for UOV

The MQQ family of cryptosystems

- MQQ (Multivariate Quadratic Quasigroups) [GMK08]
 - Encryption scheme
 - The private $\mathcal{F}$ - quasigroup string transformations of MQQs

Quasigroup: $q : \mathbb{F}_q^d \times \mathbb{F}_q^d \rightarrow \mathbb{F}_q^d$

$$\forall \bar{u}, \bar{v} \in Q, \exists! \bar{x}, \bar{y} \in Q : \quad q(\bar{u}, \bar{x}) = \bar{v}, \quad q(\bar{y}, \bar{u}) = \bar{v}.$$

- Direct algebraic attack using Gröbner bases
(small degree of regularity)

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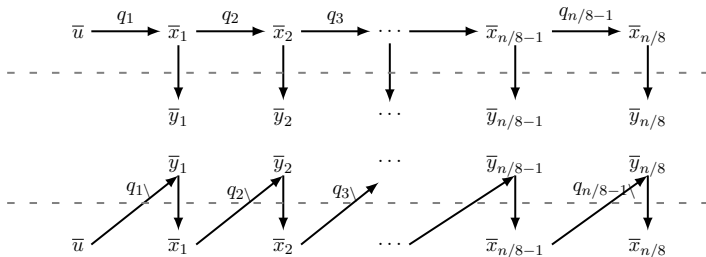
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The central map of the MQQ cryptosystems

The central $\mathcal{F}$:

$$\begin{aligned}
 (f_1, & \quad \dots, \quad f_d) &:= \mathbf{q}_1(\bar{u}, \bar{x}_1), \\
 (f_{d+1}, & \quad \dots, \quad f_{2d}) &:= \mathbf{q}_2(\bar{x}_1, \bar{x}_2), \\
 & \quad \vdots & \\
 (f_{(\ell-1)d+1}, & \quad \dots, \quad f_{\ell d}) &:= \mathbf{q}_\ell(\bar{x}_{\ell-1}, \bar{x}_\ell).
 \end{aligned}$$



The MQQ family of cryptosystems

■ MQQ-SIG [GØJPFKM11]

- signature scheme
- **fastest on (eBACS) SUPERCOP**
- $n/2$ equations removed - measure against the attack
- **Recommended parameters: $n \in \{160, 192, 224, 256\}$ for security levels of 2^{80} , 2^{96} , 2^{112} , 2^{128} , respectively.**

■ MQQ-ENC [GS12]

- Encryption scheme
- light use of minus modifier
- Left MQQs - less structure
- **Recommended parameters for security level of 2^{128} : $(n, k, r) \in \{(256, 1, 8), (128, 2, 4), (64, 4, 4), (32, 8, 1)\}$.**



The MQQ family of cryptosystems

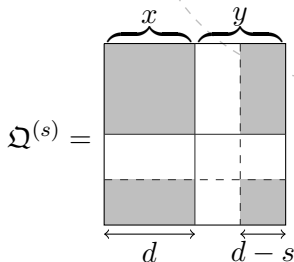
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The Left MQQs in MQQ-ENC (MQQ-SIG)

$$\mathbf{q} = (q_1, q_2, \dots, q_d) : \mathbb{F}_{2^k}^{2d} \rightarrow \mathbb{F}_{2^k}^d$$

$$\begin{aligned} q_s &= p_s(y_s) + \sum_{1 \leq i, j \leq d} \alpha_{i,j}^{(s)} x_i x_j \\ &+ \sum_{s < i, j \leq d} \beta_{i,j}^{(s)} y_i y_j + \sum_{1 \leq i \leq d, s < j \leq d} \gamma_{i,j}^{(s)} x_i y_j \\ &+ \sum_{1 \leq i \leq d} \delta_i^{(s)} x_i + \sum_{s < i \leq d} \epsilon_i^{(s)} y_i + \eta^{(s)}, \end{aligned}$$

where $p_s(y_s) \in \{a^{(s)}y_s, a^{(s)}y_s^2\}$,
for all $s, 1 \leq s \leq d$.



$$\hat{\mathbf{q}}(\bar{x}, \bar{y}) := D \cdot \mathbf{q}(\bar{x}, D_y \cdot \bar{y} + \bar{c}_y) + \bar{c}$$

$D, D_y \in \text{GL}_d(\mathbb{F}_{2^k})$, $\bar{c}, \bar{c}_y$ – vectors of dimension d .

Crucial observation about the algebraic structure

$$\mathcal{P} = \mathcal{T} \circ \mathcal{F} \circ \mathcal{S} \Leftrightarrow [D \cdot \mathbf{q}(\bar{x}, D_y \cdot \bar{y} + \bar{c}_y) + \bar{c}]$$

$$\mathcal{P} = T \circ \mathcal{F} \circ S \Leftrightarrow [D \cdot \mathbf{q}(\bar{x}, D_y \cdot \bar{y})]$$

$$\mathcal{P} = T \cdot (I_{\frac{n}{d}} \otimes D) \circ \mathcal{F}' \circ S \Leftrightarrow [\mathbf{q}(\bar{x}, D_y \cdot \bar{y})]$$

$$\mathcal{P} = T \cdot (I_{\frac{n}{d}} \otimes D) \circ \mathcal{F}'' \circ (I_{\frac{n}{d}} \otimes D_y^{-1}) \cdot S \Leftrightarrow [\mathbf{q}(D_y^{-1} \bar{x}, \bar{y}) = \tilde{\mathbf{q}}(\bar{x}, \bar{y})]$$

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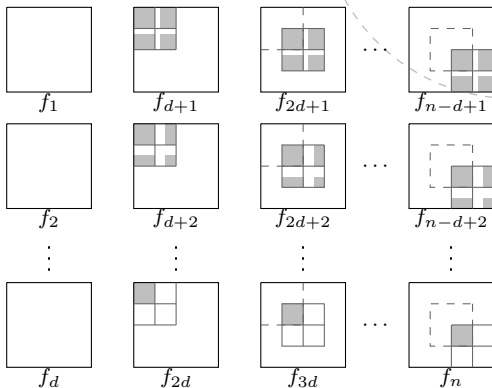
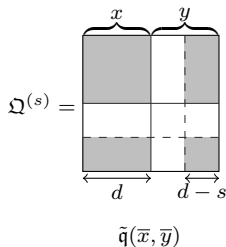
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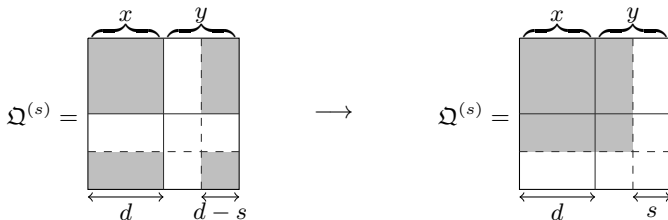
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Crucial observation about the algebraic structure



The central map

A simplification



Key Recovery Attack

Algorithm 1 High Level Description – Key-Recovery Attack

Input: $n - r$ public polynomials $\mathcal{P}$ in n variables.

for $N := n$ down to $r + 2$ **do**

 Consider that all public polynomials involve $\leq N$ variables.

Step N :

 Find a good key $(\overline{S}'_N, \overline{T}'_N)$.

 Transform the public key as $\mathcal{P} \leftarrow \overline{T}'_N \circ \mathcal{P} \circ \overline{S}'_N$,
 and if $N < n$ remove the first polynomial from $\mathcal{P}$.

end for;

Output: The equivalent key

$$\overline{S}' = \overline{S}'_n \circ \dots \circ \overline{S}'_{r+2} \text{ and } \overline{T}' = \overline{T}'_{r+2} \circ \dots \circ \overline{T}'_n.$$

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Finding a good key $(\overline{S}'_n, \overline{T}'_n)$

$$\overline{S} \cdot \Omega = \begin{array}{|c|c|} \hline \overbrace{\hspace{1.5cm}}^{n-1} & \overbrace{\hspace{0.5cm}}^1 \\ \hline \text{[Gray Box]} & \text{[Gray Box]} \\ \hline \end{array} \cdot \begin{array}{|c|c|} \hline \overbrace{\hspace{1.5cm}}^{n-1} & \overbrace{\hspace{0.5cm}}^1 \\ \hline \text{[Gray Box]} & \begin{array}{c} \Omega^{(1)} \\ 0 \end{array} \\ \hline \end{array} = \begin{array}{|c|c|} \hline \overbrace{\hspace{1.5cm}}^{n-1} & \overbrace{\hspace{0.5cm}}^1 \\ \hline \text{[White Box with Diagonal]} & \text{[Gray Box]} \\ \hline \end{array} = \overline{S}'.$$

- Note that x_n does not appear quadratically
 $\Rightarrow$ We can take $\overline{T}'_n = I$
- A good key $\overline{S}'_n$ exists only if $\overline{S}_{nn} \neq 0$.
 $\Rightarrow$ Randomization: $\mathfrak{P}_{rand}^{(i)} := S_{rand}^\top \mathfrak{P}^{(i)} S_{rand}$
- Solve: $(m(n-1)$ linear equations in $(n-1)$ variables)

$$\sum_{y=1}^n \mathfrak{P}_{yj}^{(k)} \overline{s}'_{y,n} = 0, \quad \forall 1 \leq k \leq m, 1 \leq j < n.$$

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$\Omega^{(1)}$ 0

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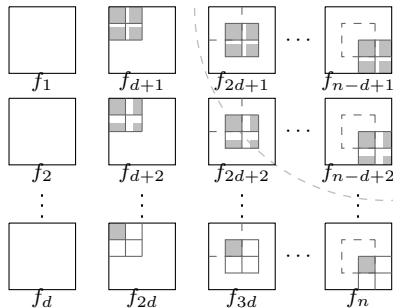
(Note: The matrices in the diagram represent Ω , $\Omega^{(1)}$, and the result matrix respectively, with the last matrix being $\overline{S}'$.)

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Applying MinRank ($N < n$)

Note that x_N occurs quadratically in at most one polynomial of $\mathcal{F}$.

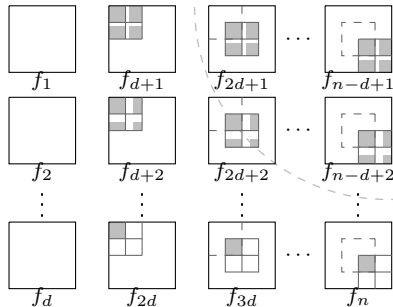


$\Rightarrow$ We look for a linear combination $\mathfrak{P}^{(k)} + \lambda \mathfrak{P}^{(1)}$
s.t. x_N vanishes.

Find $\lambda \in \mathbb{F}_q$ such that $\text{Rank}(\mathfrak{P}^{(k)} + \lambda \mathfrak{P}^{(1)}) < N$.

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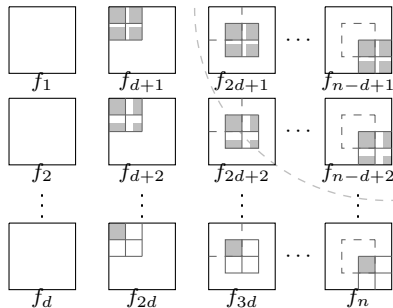


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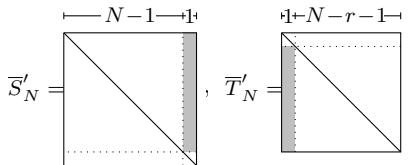
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Finding a good key $(\overline{S}'_N, \overline{T}'_N)$



Theorem

$\overline{s}' = (\overline{s}'_{1,N}, \overline{s}'_{2,N}, \dots, \overline{s}'_{N-1,N}, 1)$ and $\overline{t}' = (1, \overline{t}'_{2,1}, \overline{t}'_{3,1}, \dots, \overline{t}'_{N-r+1,1})$ – unknown vectors.

The solution of (k simultaneous MinRank problems)

$$\overline{s}' \left(\mathfrak{P}^{(k)} + \overline{t}'_{k1} \mathfrak{P}^{(1)} \right) = \mathbf{0}_{1 \times N}, \quad \forall 1 < k \leq N - r + 1$$

gives the unknown columns of $\overline{S}'_N, \overline{T}'_N$.

Finding a good key $(\overline{S}'_N, \overline{T}'_N)$

$$\overline{S}'_N = \begin{matrix} \xleftarrow{N-1} \end{matrix} \begin{matrix} \begin{matrix} \text{[Matrix with diagonal and shaded top-right corner]} \end{matrix} \end{matrix}, \quad \overline{T}'_N = \begin{matrix} \xleftarrow{N-r-1} \end{matrix} \begin{matrix} \begin{matrix} \text{[Matrix with diagonal and shaded top-right corner]} \end{matrix} \end{matrix}.$$

Theorem (Equivalent):

Block matrices: $\mathfrak{P} = [\mathfrak{P}^{(2)} | \mathfrak{P}^{(3)} | \dots | \mathfrak{P}^{(N-r+1)}]_{N \times N(N-r)}$,

$\mathfrak{P}_i = [\mathbf{0} | \dots | \mathbf{0} | \mathfrak{P}^{(1)} | \mathbf{0} | \dots | \mathbf{0}]_{N \times N(N-r)}$, $\overline{s}'$, $\overline{t}'$ – unknown.

The solution of **(one Rectangular MinRank problem)**

$$\text{Rank} \left(\mathfrak{P} + \sum_{k=2}^{N-r+1} \overline{t}'_{k1} \mathfrak{P}_k \right) < N$$

gives the unknown columns of $\overline{S}'_N, \overline{T}'_N$.

Algorithm 2: Key Recovery

Input: $n - r$ public polynomials $\mathcal{P}$ in n variables.

for $N := n$ **down to** $r + 2$ **do**

If $N = n$, set $b = 0$, **otherwise** set $b = 1$.

Step Rectangular MinRank(N)

 Transform the public key: $\mathcal{P} \leftarrow \overline{T}'_N \circ \mathcal{P} \circ \overline{S}'_N$,

If $b = 1$ remove the first polynomial from $\mathcal{P}$.

end for;

Output: The equivalent keys $\overline{S}' = \overline{S}'_n \circ \dots \circ \overline{S}'_{r+2}$ and $\overline{T}' = \overline{T}'_{r+2} \circ \dots \circ \overline{T}'_n$.

Complexity of the Key Recovery Attack

Find $\lambda \in \mathbb{F}_q$ such that $\text{Rank}(\mathfrak{P}^{(k)} + \lambda \mathfrak{P}^{(1)}) < N$.

Pitfalls over even characteristic fields –
 $\mathfrak{P}^{(s)}$ have always even rank

- N is even: $\text{Rank}(\mathfrak{P}^{(k)} + \lambda \mathfrak{P}^{(1)}) \leq N - 2$.
 - $\text{Rank}(\mathfrak{P}^{(1)}) \leq N - 1$ and $\text{Rank}(\mathfrak{P}^{(k)}) \leq N - 1$
 - unique solution λ
 - q solutions for the good key $\overline{S}'_N$.
- N is odd: $\text{Rank}(\mathfrak{P}^{(k)} + \lambda \mathfrak{P}^{(1)}) < N$ trivially satisfied
 - $\text{Rank}(\mathfrak{P}^{(1)}) \leq N - 1$ and $\text{Rank}(\mathfrak{P}^{(k)}) \leq N - 1$
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Complexity of the Key Recovery Attack

A pencil $(A, B) \in \mathbb{F}_q^N \times \mathbb{F}_q^N$ is **generic** if the rank defect is at most 1.

Theorem:

Let $\mathfrak{P}^{(1)}, \dots, \mathfrak{P}^{(N-r+1)} \in \mathbb{F}_q^{N \times N}$, $q = \mathcal{O}(n)$.

If $\exists i$, $2 \leq i \leq (N - r + 1)$ such that $(\mathfrak{P}^{(1)}, \mathfrak{P}^{(i)})$ is generic, then, our attack recovers a key equivalent to the secret-key in

$$\mathcal{O}(n^{\omega+3})$$

with probability $1 - 1/q$.



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Theoretical Complexities ($C(n, r) = \sum_{N=r+2}^{n-1} \binom{N+4}{3}^\omega \cdot$)

Table: Theoretical Key Recovery MQQ-ENC
(for claimed security of $\mathcal{O}(2^{128})$).

2^k	k	n	r	d	Decryption	Key Recovery
2	1	256	8	8	2^{25}	$2^{56.3}$
4	2	128	4	8	2^{23}	$2^{48.2}$
16	4	64	2	8	2^{21}	$2^{40.3}$
256	8	32	1	8	2^{20}	$2^{32.5}$

Table: Theoretical Key Recovery MQQ-SIG

Security	n	d	Key Recovery
2^{80}	160	8	$2^{50.8}$
2^{96}	192	8	$2^{52.9}$
2^{112}	224	8	$2^{54.7}$
2^{128}	256	8	$2^{56.2}$



Experimental Results MQQ-ENC

2^k	k	n	r	d	Key Recovery Theoretical	Key Recovery Practical		
						cycles	sec	$d_{\max}$
2	1	64	8	8	$2^{40.3}$	$2^{43.4}$	5421	3
2	1	96	8	8	$2^{44.9}$	$2^{47.8}$	111844	3
4	2	64	4	8	$2^{40.3}$	$2^{43.7}$	6978	3
4	2	96	4	8	$2^{44.9}$	$2^{47.8}$	109258	3
4	2	128	4	8	$2^{48.2}$	$2^{50.6}$	787214	3
16	4	32	2	8	$2^{32.5}$	$2^{34.7}$	14	3
16	4	48	2	8	$2^{37.0}$	$2^{38.9}$	251	3
16	4	64	2	8	$2^{40.3}$	$2^{41.6}$	1783	3

Experimental Results MQQ-SIG

n	r	d	Key Recovery Theoretical	Key Recovery Practical		
				cycles	sec	$d_{\max}$
64	32	8	$2^{40.3}$	$2^{40.1}$	560	3
96	48	8	$2^{44.9}$	$2^{43.2}$	4822	3
128	64	8	$2^{48.2}$	$2^{46.0}$	34376	3
160	80	8	$2^{50.8}$	$2^{48.0}$	120882	3

Conclusions

- MinRank - fundamental for $\mathcal{MQ}$ security
- Our attack
 - Works over characteristic 2
 - Works regardless of the number of removed equations
- Very hard to design a secure scheme based on an easily invertible $\mathcal{MQQ}$ structure
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Thank you for listening!

